LETITIA JAMES 1 Attorney General of the State of New York STEVEN C. WU 2 **Deputy Solicitor General** GAVIN G. MCCABE 3 Assistant Attorney General 4 28 Liberty Street New York, New York 10005 5 Telephone: (212) 416-8469 Facsimile: (212) 416-8962 6 E-mail: Gavin.McCabe@ag.ny.gov 7 Attorneys for Amici Curiae States of New York, California, Colorado, Connecticut, Delaware, 8 Hawai'i, Illinois, Maryland, Massachusetts, Michigan, Minnesota, Nevada, New Jersey, New Mexico, North Carolina, Oregon, Pennsylvania, Rhode Island, Vermont, Virginia, Washington, and Wisconsin; 9 the District of Columbia; El Paso County, and Hidalgo and Cameron Counties, Texas; Howard County, Maryland; the Cities of Central Falls, Rhode Island; Columbus, Ohio; Philadelphia and 10 Pittsburgh, Pennsylvania; Phoenix, Arizona; and the U.S. Conference of Mayors. 11 UNITED STATES DISTRICT COURT 12 NORTHERN DISTRICT OF CALIFORNIA 13 NATIONAL URBAN LEAGUE; LEAGUE OF No. 5:20-cv-05799-LHK WOMEN VOTERS; BLACK ALLIANCE FOR 14 JUST IMMIGRATION; HARRIS COUNTY, **BRIEF OF THE AMICI CURIAE STATES** 15 TEXAS; KING COUNTY, WASHINGTON; AND LOCAL GOVERNMENTS IN CITY OF LOS ANGELES, CALIFORNIA; CITY SUPPORT OF PLAINTIFFS 16 OF SALINAS, CALIFORNIA; CITY OF SAN JOSE, CALIFORNIA; RODNEY ELLIS; AND 17 Hearing Date: September 17, 2020 ADRIAN GARCIA, Time: 1:30 p.m. 18 Place: Courtroom 8, 4th Floor Plaintiffs, 19 Judge: Hon. Lucy H. Koh v. 20 WILBUR L. ROSS, JR., IN HIS OFFICIAL 21 CAPACITY AS SECRETARY OF COMMERCE; U.S. DEPARTMENT OF COMMERCE: 22 STEVEN DILLINGHAM, IN HIS OFFICIAL CAPACITY AS DIRECTOR OF THE U.S. 23 CENSUS BUREAU; AND U.S. CENSUS 24 BUREAU, 25 Defendants. 26 27 28

BR. FOR AMICI STATES AND LOCAL GOVERNMENTS IN SUPPORT OF PLS.

Case No. 5:20-cv-05799-LHK

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INTEREST OF AMICI CURIAE

Amici States of New York, California, Colorado, Connecticut, Delaware, Hawai'i, Illinois, Maryland, Massachusetts, Michigan, Minnesota, Nevada, New Jersey, New Mexico, North Carolina, Oregon, Pennsylvania, Rhode Island, Vermont, Virginia, Washington, and Wisconsin—as well as the District of Columbia; El Paso County, and Hidalgo and Cameron Counties, Texas; Howard County, Maryland; the Cities of Central Falls, Rhode Island; Columbus, Ohio; Philadelphia and Pittsburgh, Pennsylvania; Phoenix, Arizona; and the U.S. Conference of Mayors—file this amicus curiae brief to support plaintiffs' request for a stay or preliminary injunction against the Census Bureau's "Rush Plan." The Rush Plan is a precipitous and unexplained policy change to the 2020 census that shortens the schedule for the Bureau's data-collection and data-processing efforts, including by reducing the time for both self-responses and non-response follow-up operations from October 31 to September 30. As plaintiffs have established, the Rush Plan hamstrings ongoing efforts to conduct the census—particularly given the obstacles posed by the ongoing COVID-19 pandemic—and thus impairs the accuracy of the Bureau's enumeration of the total population of each State.

Amici have a direct stake in this dispute. The decennial census determines the States' political representation in Congress, provides critical data for the States' own redistricting efforts, and affects hundreds of billions of dollars in federal funding to States and localities. An inaccurate census will directly impair those interests, inflicting harms that will persist for the next decade. Amici's interests thus confirm the urgent need for the relief that plaintiffs have requested.

Amici also have direct experience in defending the integrity of the 2020 census against efforts by these defendants to manipulate that constitutionally required process—including their failed effort to add a citizenship question, and their ongoing campaign to exclude undocumented immigrants from the population count used for congressional apportionment. What those efforts have in common is defendants' disregard of unambiguous constitutional or statutory requirements; their conscious deviation from centuries of consistent practice; and their failure to deal honestly with the public and the courts. This backdrop provides relevant context for this Court's evaluation of the reasonableness or good-faith basis of the Rush Plan.

ARGUMENT

A. The Census Bureau's Rush Plan Will Impair the Accuracy of the Constitutionally Required Enumeration of Total Population.

The "decennial enumeration of the population is one of the most critical constitutional functions our Federal Government performs." Pub. L. No. 105-119, § 209(a)(5), 111 Stat. 2440, 2481 (1997). The mandate to conduct a decennial census requires a person-by-person count, not a statistical estimate. Department of Commerce v. United States House of Reps., 525 U.S. 316, 334-35 (1999). And the census must be "as accurate as possible, consistent with the Constitution" and the law. Pub. L. No. 105-119, § 209(a)(6), 111 Stat. at 2481; see also Utah v. Evans, 536 U.S. 452, 478 (2002) (explaining Framers' "strong constitutional interest in accuracy" for the enumeration); Federation for Am. Immigration Reform v. Klutznick, 486 F. Supp. 564, 567 (D.D.C. 1980) (three-judge court) (noting that enumeration is "straightforward head count, as accurate as is reasonably possible").

Fulfilling the constitutional requirement to conduct an accurate enumeration is an enormous responsibility—one that is often described as the largest civilian mobilization conducted in the United States. In modern times, the Bureau has begun by asking every household in the country to respond to a questionnaire asking for the number of persons in each household and some basic demographic information. But self-response rates do not come close to providing a full picture of this country's total population. The Bureau thus conducts non-response follow-up (NRFU) operations to fill the gap—an essential component of its ability to ensure that every person is counted. See Compl. ¶¶ 72-76.

The Rush Plan cuts short the time for both self-response and NRFU operations from October 31 to September 30—a reduction in the overall time that the Bureau itself had earlier said was necessary to conduct an accurate count, and an alteration of a deadline that the Bureau had adopted specifically to accommodate the unique difficulties posed by the COVID-19 pandemic. *See* Pls.' Mot. for Stay & Prelim. Inj. (Pls.' Mot.) at 7-9. This abrupt and unexplained curtailment of the Census Bureau's data-collection efforts will inevitably harm the accuracy of the population count.

¹ U.S. Census Bureau, 2020 Census Research, Operational Plans, and Oversight: About (last visited Aug. 28, 2020), https://www.census.gov/programs-surveys/decennial-census/2020-census/about.html.

Even before the COVID-19 crisis, the Bureau was predicting significant difficulties with the 2020 census that would require more, rather than less, NRFU. "Rates of self-response to Census Bureau surveys have been in general decline, as people are overloaded with requests for information and [are] increasingly concerned about sharing information." *New York v. United States Dep't of Commerce*, 351 F. Supp. 3d 502, 583 (S.D.N.Y. 2019) (quotation marks omitted). The COVID-19 pandemic has only exacerbated this problem: as of the date of this filing, self-response rates for 2020 are still behind the rates for 2010 in most States—including New York, California, and Vermont—despite the extended time that households have been given to respond.²

These deficiencies would ordinarily warrant more rather than fewer efforts to reach nonresponsive households to ensure that they are counted. But defendants here have inexplicably gone in the opposite direction—cutting short these efforts without any apparent plan to address the significant undercounts that their Rush Plan will create.

Even worse, the harms of this undercount will fall disproportionately on certain States and localities. It has long been understood that specific populations are particularly difficult to enumerate accurately because they respond to the census at lower rates compared to the general population. "Racial and ethnic minorities, immigrant populations, and non-English speakers have historically been among the hardest groups to count." *New York*, 351 F. Supp. 3d at 577. And the differential undercount of these populations also has a disproportionate impact on the States that have relatively larger shares of these populations, including several of the amici here. For example, as of the date of this filing, New York's self-response rate remains approximately four percent below the national rate.³

The Rush Plan thus threatens to undermine the accuracy of the 2020 decennial census by eliminating a full month that the Census Bureau had previously committed to use to accept self-responses and to conduct critical NRFU operations. And defendants have hamstrung the Bureau's efforts

² See Census 2020 Hard to Count Map, Mapping Response Rates for a Fair and Accurate 2020 Census (last visited Aug. 28, 2020) https://www.censushardtocountmaps2020.us/ (showing that New York's self-response rate in 2020 is nearly four percent lower than it was in 2010); Vermont Complete Count Committee Meeting 2 (Aug. 20, 2020), https://tinyurl.com/y45h8s5d ("Vermont is currently seventh place from last among states and Puerto Rico for Self-response.").

³ See U.S. Census Bureau, Response Rates (last updated Aug. 26, 2020), https://2020census.gov/en/response-rates.html.

at a time when the COVID-19 crisis requires the dedication of more efforts, not fewer, for an accurate count. The relief requested by plaintiffs is urgently needed to redress the harms of defendants' actions.

B. An Inaccurate Census Will Seriously Harm the States and Their Residents.

Differential undercounts of total populations will have serious consequences for amici. The enumeration not only "provide[s] a basis for apportioning representatives among the states in the Congress," *Baldrige v. Shapiro*, 455 U.S. 345, 353 (1982); it also "serves as a linchpin of the federal statistical system by collecting data on the characteristics of individuals, households, and housing units throughout the country," *House of Reps.*, 525 U.S. at 341 (quotation marks omitted). Amici focus here on two of the most serious consequences of an inaccurate census: the effects on political representation and the loss of federal funding.

1. An inaccurate census threatens harm to the political representation of amici and their residents both on an interstate and intrastate basis.

Interstate harm would come from the effect that a differential undercount has on congressional apportionment. The Constitution requires that seats in the House of Representatives "shall be apportioned among the several States according to their respective numbers, counting the whole number of persons in each State, excluding Indians not taxed." U.S. Const. amend. XIV, § 2; *see id.* art. I, § 2, cl. 3. The "respective Numbers" of "the whole Number of free Persons" in each State must in turn be based on the "actual Enumeration" of all persons living here that is determined by the decennial census. *Id.* art. I, § 2, cl. 3.

In the litigation over defendants' failed efforts to add a citizenship question to the 2020 census questionnaire, the district court found that "a mere 5.8% differential undercount" of "noncitizen households and Hispanics" would almost certainly cause California to lose a House seat, and raised a "significant risk of an apportionment loss" to New York, Arizona, Florida, Illinois, and Texas, as well. *New York*, 351 F. Supp. 3d at 594. Such a loss would deprive these States of political power in Congress for a decade, hampering their efforts to serve their residents and depriving them of the representation to which they would otherwise be entitled. *See* Pls.' Mot. at 29-30.

Amici will also face intrastate harm because census data is also used for state redistricting of both congressional and state legislative seats. *See House of Reps.*, 525 U.S. at 334 ("States use the population numbers generated by the federal decennial census for federal congressional redistricting."); *see*, *e.g.*, N.Y. Const. art. III, §§ 4-5, 5-a. Just as there are differential undercounts between States, there are also significant differentials between areas within each State. And, as the district court found in the citizenship-question litigation, just a two percent differential undercount would be enough to materially alter the statewide population shares of many municipalities, including New York City; Philadelphia and Pittsburgh, Pennsylvania; Chicago, Illinois; and Prince George's County, Maryland. *New York*, 351 F. Supp. 3d at 595.

2. An inaccurate enumeration will also affect amici's entitlement to hundreds of billions of dollars of federal funding that are dependent on the decennial census's population count.

In particular, at least eighteen federal programs distribute financial assistance based in whole or in part upon each State's relative share of the total U.S. population. (Addendum (Add.) 4.⁴) *See New York*, 351 F. Supp. 3d at 596 n.44 (listing programs). Another six federal programs distribute funding based on the Federal Medical Assistance Percentage reimbursement formula, which is also "sensitive to changes in the decennial census count" because of the way that formula is calculated. *See id.* at 596.

Even small differential undercounts from the decennial census will cause amici and their residents to lose access to this important federal funding. For example, just a two percent differential undercount will cause various amici to lose funding from the Supplemental Nutrition Program for Women, Infants, and Children; Social Services Block Grants; Community Services Block Grants; Title I Grants to Local Educational Authorities; Temporary Assistance for Needy Families; the Low-Income Home Energy Assistance Program; the Children's Health Insurance Program; and more. *Id.* at 597-98. (Add. 13-26.)

Title I Grants to Local Educational Authorities provide a particularly stark example of how even very small undercounts can have significant funding consequences for amici. This program provides

⁴ These addendum citations are to an expert declaration submitted by Dr. Andrew Reamer in *New York v. United States Dep't of Commerce*, 351 F. Supp. 3d 502 (S.D.N.Y. 2019). The district court found "Dr. Reamer's testimony to be credible, and his analysis persuasive." *Id.* at 597.

funding to schools based on the census's population count of children living in poverty.⁵ New York has estimated that just a 1.54 percent reduction in the count of such children could cause a local educational authority to lose nearly \$300,000 in funding—the equivalent of six full-time academic support teachers. (Add. 31.⁶) And for many of the programs that would be affected by an inaccurate census count, the harms would be felt by the most vulnerable and at-risk populations who are in greatest need of federal financial assistance.

C. The Rush Plan Is Part of a Broader Effort by Defendants to Interfere with the Decennial Census.

This Court should not review the validity of the Rush Plan in a vacuum. Whatever justifications defendants may belatedly provide for the Rush Plan, such *post hac* rationalizations must be viewed against the context of a nearly four-year effort by defendants to repeatedly disrupt the decennial census.

That effort began with Commerce Secretary Wilbur Ross's decision to add a citizenship question to the census shortly after his appointment in February 2017. Secretary Ross pursued this decision despite warnings from the Census Bureau that adding the question would undermine the accuracy of the enumeration. And rather than transparently disclosing his actual objective, Secretary Ross instead presented to the courts and to the public a "contrived" rationale that did not come close to matching the actual steps he had taken. *Department of Commerce v. New York*, 139 S. Ct. 2551, 2575 (2019).

More recently, President Trump announced a new policy to exclude undocumented immigrants from the apportionment base used to allocate House seats and directed defendants to prepare numbers from the decennial census to enable the implementation of that policy. *Memorandum on Excluding Illegal Aliens from the Apportionment Base Following the 2020 Census*, 85 Fed. Reg. 44,679, 44,680 (July 23, 2020). That exclusion would fly in the face of centuries of history in which the decennial census has never excluded inhabitants of the States based solely on their immigration status (or other

⁵ See generally U.S. Dep't of Educ., *Improving Basic Programs Operated by Local Educational Agencies (Title 1, Part A)* (last updated Oct. 24, 2018), https://www2.ed.gov/programs/titleiparta/index.html.

⁶ This addendum citation is to an affidavit submitted by Jason Harmon, Director of the Office of the Every Student Succeeds Act Funded Programs at the New York State Education Department, in *New York v. United States Dep't of Commerce*, 351 F. Supp. 3d 502 (S.D.N.Y. 2019). The district court relied on this affidavit to support its finding that the governmental plaintiffs in that lawsuit would lose federal funding due to a differential undercount. *Id.* at 597.

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legal status). See Evenwel v. Abbott, 136 S. Ct. 1120, 1128-29 (2016) (recognizing that the Framers of the Fourteenth Amendment chose to "allocat[e] House seats to States" with "total population as the congressional apportionment base"); Wesberry v. Sanders, 376 U.S. 1, 13 (1964) ("[W]hen the delegates agreed that the House should represent 'people' they intended that in allocating Congressmen the number assigned to each State should be determined solely by the number of the State's inhabitants."). And it would disregard the repeatedly expressed intent of the Framers of the Fourteenth Amendment to include "the entire immigrant population . . . in the basis of representation." Cong. Globe, 39th Cong., 1st Sess. 432 (1866) (Rep. Bingham).

In other words, defendants have for nearly four years made decisions regarding the decennial census that have disregarded the pointed recommendations of the Bureau's own experts; that were publicly justified with rationales later found to be pretextual; and that flouted unambiguous constitutional and statutory mandates. Given this context, this Court should at minimum treat with skepticism any rationales that defendants may provide here for yet another decision that would undermine the aims of the decennial census. Cf. New York, 139 S. Ct. at 2575 (court is "not required to exhibit a naiveté from which ordinary citizens are free" (quoting United States v. Stanchich, 550 F.2d 1294, 1300 (2d Cir. 1977)).

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CONCLUSION 1 This Court should grant plaintiffs' request for a stay or preliminary injunction. 2 3 Dated: New York, New York August 31, 2020 4 Respectfully submitted, 5 LETITIA JAMES 6 Attorney General 7 State of New York Attorney for Amici Curiae 8 9 By: /s/ Gavin G. McCabe GAVIN G. McCABE 10 Assistant Attorney General 11 BARBARA D. UNDERWOOD 28 Liberty Street 12 New York, NY 10005 Solicitor General (212) 416-8469 STEVEN C. WU 13 Deputy Solicitor General of Counsel 14 15 16 17 18 19 20 21 22 23 24 25 26 27

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